

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: 125464
Application No.: 09/801588 Art Unit: 3696 / Confirmation No.: 6999
Filed: March 8, 2001 Examiner: Clement B. Graham
Title: MARKET PROGRAM FOR INTERACTING
WITH TRADING PROGRAMS ON A PLATFORM

REFERENCES FOR NOTATION TO FILE

Seattle, Washington 98101

November 18, 2009

TO THE COMMISSIONER FOR PATENTS:

The above-identified application was allowed by way of a Notice of Allowance dated August 18, 2009. Subsequent to the allowance of this application, the following five references have been identified in other pending applications that share a common priority claim with the present application.

U.S. Patent No. 4,920,485, issued to Vahidsafa;

U.S. Patent No. 5,404,551, issued to Katsuno;

U.S. Patent No. 5,535,393, issued to Reeve et al.;

U.S. Patent No. 6,381,692, issued to Martin et al.; and

U.S. Patent Publication No. 2002/0161687 by Serkin et al.

The disclosure in each of these references has been considered relative to the allowed claims of the present application, and it is determined that each of these references is either not material to the patentability of the allowed claims or is merely cumulative of the prior art that has already been formally considered by the U.S. Patent and Trademark Office during the prosecution of this application. Therefore, applicant is submitting the present paper to make

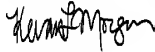
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these references known in the application file, but is not requesting formal consideration of the references by the Office.

Should any questions arise, the undersigned counsel may be contacted at the telephone number identified below.

Respectfully submitted,

CHRISTENSEN O'CONNOR
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A handwritten signature in black ink, appearing to read "Kevan L. Morgan".

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